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# Atomic force microscopy analysis of surface topography of pure thin aluminium films

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## Abstract

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Pure aluminium thin films were deposited on stainless and mild steel substrates through rf magnetron sputtering at rf powers of 150 and 200 W. Surface analysis of the films was undertaken using atomic force microscopy. The surface structure evolution, roughness and distribution were examined and discussed. Power spectral density, skewness and Kurtosis parameters were used to explain the nature and distribution of the surface structures on the thin aluminium films as reported from the line profile analyses. The result shows that the morphologies of the surface structures of Al films vary with power and substrate type. The coatings exhibit the higher roughness at 200 W. There is strong links that exist between AFM observations and SEM. This implies that AFM can be considerably used to study the microstructural evolution of Al thin films during magnetron sputtering.

**Keywords:** Atomic Force Microscopy, Magnetron sputtering, Power Spectral Density, Surface roughness, Thin aluminium films

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## 1. Introduction

Thin aluminium films are widely used as protective coatings to improve surface properties of substrates. This is because they offer combination of excellent properties such as resistance to oxidation, corrosion and wear to metal substrates [1]. They are widely utilized for corrosion protection on low carbon steels [2–6], aluminium-magnesium alloys [7, 8] and stainless steel 304 [9]. They are also used for diffusive intermetallic coating of titanium alloys [10].

Physical methods of preparing thin films are preferred over chemical techniques because they provide high substrate-film adhesion and quality thin films [11]. A rich literature therefore exists on studies of Al thin films deposited on different substrates through thermal [1, 3, 5, 12, 13] and physical vapor deposition [14] methods. However it has been reported that Al thin films prepared

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3 through thermal methods have higher density of porosity and defects, which limit their applications  
4 as surface protectors for substrates [4, 10]. As such, physical vapor deposition (PVD) methods  
5 such as magnetron sputtering are preferred in preparing Al thin films.  
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9 A detailed look into the existing literature shows that most works have reported on magnetron  
10 deposition of Al thin films on non-metals [15], with limited studies on Al thin films on metallic  
11 substrates. The properties of Al thin films depend on the PVD deposition conditions and  
12 parameters. The effects of substrate temperature [16], deposition gas (argon) pressure [16, 17],  
13 deposition rates, deposition power [16], bias voltage in magnetron sputtering [17], etc. on the  
14 properties of Al thin films have been reported. Studies [18–20] reported on the effect of film  
15 thickness on the structural properties of Al thin films sputtered on different substrates. Structural  
16 zone models (SZM) have been used to describe the effect of depositions conditions to the structural  
17 evolution during thin film growth [21]. According to SZM, there are three regions, namely, Zone  
18 I, Zone T and II, used to explain the evolution of microstructure in thin films. The evolution of  
19 microstructure in this model is explained in terms of adatom mobility. Zone I occur when the  
20 deposition parameters such as temperature, rate, etc. lead to low adatom mobility. In this case,  
21 microstructure of thin films consists of fine fiber, porous or even amorphous texture. There are  
22 small and more equiaxed grains. In transition zone, T, there is higher diffusion of adatoms, leading  
23 to formation of coarser structures. There is competitive grain growth and a preferred  
24 crystallographic orientation with respect to the film thickness are likely to occur. In zone II, there  
25 is bulk mobility of adatoms, grain growth and recrystallization which causes formation of  
26 coarser/columnar structures on the films.  
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41 Atomic force microscopy (AFM) is a powerful profilometry technique used in studying the surface  
42 topography of thin films. It gives quantitative information about the surfaces and surface structures  
43 [22]. It also studies the surface microstructure of thin films [23, 24]. Through AFM imaging,  
44 morphological information of the surfaces of thin films can be expressed in terms of roughness,  
45 maximal and mean heights of the structures[25–27]. AFM also studies the grain size and  
46 distribution in thin films [28]. Additionally, AFM images can be examined through fractal  
47 geometry techniques such as power spectral density (PSD) functions to describe various complex  
48 surface morphologies and their effects on the surface properties of the film [29]. The surface  
49 properties significantly influence strength and corrosion performance of Al thin films and it is  
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therefore necessary to study them. The present work reports on the topographical properties of Al thin films prepared through r.f magnetron sputtering using AFM. Al thin films were deposited on stainless and mild steel substrates at rf powers of 150 W and 200 W.

## 2. Experimental Procedure

Pure Al coatings were prepared by rf magnetron sputtering. A solid pure Al target of 99.9% purity, with diameters 75 mm and thickness 3 mm were used. Two different metal substrates namely mild steel and stainless-steel plates of size 75 x 25 x 3 mm were used. The substrates were initially cleaned with acetone, isopropanol and finally with deionized water for 15 mins respectively. Prior deposition the substrate was held onto a non-rotating work holder directly above Al target to maximize the material absorption by the substrate. The distance between the target and substrate was kept at 13 cm. The sputtering apparatus was first evacuated to a base pressure of  $1.13 \times 10^{-5}$  mbar, after which the Al thin films were deposited at room temperature. The flow rate of argon was kept constant at 12 sccm and the working pressure maintained at about  $1.20 \times 10^{-2}$  mbar. Two different substrates of each metal were sputtered at 150 W and 200 W for 2 hours.

The coated samples were then cut into 10 x 10 mm sizes for SEM, XRD and AFM analyses. SEM and XRD were undertaken to observe the morphology and crystallinity of the Al thin films respectively. AFM was conducted on Veeco Dimension 3100 AFM facility. The imaging was conducted in tapping mode with a cantilever of spring constant of 2.8 N/m with a resonance frequency of 75 kHz. The images were taken at a scan size of  $3 \times 3 \mu\text{m}$  at integral and proportional gains of ranges of 0.4-0.8 and 0.6-1.0 respectively. The optimal set point for the images ranged between 0.899 and 1.120. Five AFM images were taken at different sections of the sample surface at the same gain conditions, scan rate and size. The image analyses were performed in Nanoscope V530r3sr3 and Matlab® software. Roughness from AFM imaging was expressed in terms of mean heights ( $R_a$ ) and root mean square ( $R_{rms}$ ) computed using equations 1 and 2 respectively [30].

$$R_a = \frac{1}{L_x L_y} \sum_{j=1}^{L_y} \sum_{i=1}^{L_x} |Z_{ij} - \mu| \quad (1)$$

$$R_{rms} = \sqrt{\frac{1}{L_x L_y} \sum_{j=1}^{L_y} \sum_{i=1}^{L_x} (Z_{ij} - \mu)^2} \quad (2)$$

Where;

$\mu$  is the mean given as  $\mu = \frac{1}{L_x L_y} \sum_{j=1}^{L_y} \sum_{i=1}^{L_x} Z_{ij}$

$L_x, L_y$  represents the data sample size/sampling length

$Z_{ij}$  is the profile height function/ source discrete function on the XY plane of the sampling surface

To characterize the symmetry of distribution of the structures of surfaces, surface skewness [30],  $R_{sk}$ , was used and calculated from AFM images as follows.

$$R_{sk} = \frac{1}{L_x L_y R_{rms}^3} \sum_{j=1}^{L_y} \sum_{i=1}^{L_x} (Z_{ij} - \mu)^3 \quad (3)$$

For symmetric distributions, nonzero values of  $R_{sk}$  are recorded. Also, when the positive values are recorded, it means that the surface is dominated by peaks whereas when the values are negative, the surface is dominated by valleys. To measure spikiness of height distribution of the surface, coefficient of kurtosis,  $R_{ka}$ , was calculated as follows [30].

$$R_{ka} = \frac{1}{L_x L_y R_{rms}^4} \sum_{j=1}^{L_y} \sum_{i=1}^{L_x} (Z_{ij} - \mu)^4 - 3 \quad (1)$$

If  $R_{ka}=3$ , the surface has Gaussian distribution, if  $R_{ka} > 3$ , then the surface is spiky and when  $R_{ka} < 3$ , the surface is considered bumpy.

Although the above statistical methods are simple and reliable, they do not account for lateral distribution of topographic features and do not show the distinction between peaks and valleys [29, 31]. As such, power spectral density (PSD) of surface topography is more descriptive and uses Fourier transform (FT) to decompose the surface profile into spatial wavelengths and allows comparison of roughness measurement in frequency domain of the image. PSD basically transforms AFM images into complex frequency domain through Fast Fourier Transform (FFT). A 2-dimensional PSD ( $S_2$ ) of a surface described by its topography function  $z(x,y)$  is written as follows [31]

$$S_2(f_x, f_y) = \lim_{L \rightarrow \infty} \frac{1}{L^2} \left\{ \int_{-\frac{1}{2}L}^{\frac{1}{2}L} dx \int_{-\frac{1}{2}L}^{\frac{1}{2}L} dy \cdot z(x, y) \cdot \exp[2\pi j(xf_x + yf_y)] \right\}^2 \quad (2)$$

Where

$L$  is the scan length assumed to be equal in  $x$  and  $y$  directions.

$f_x, f_y$  spatial frequencies (inverse of wavelengths) for  $x$  and  $y$  directions respectively

$j$  is the complex part

$z(x,y)$  is the height/profile surface function

The equation above is not exactly FT of  $z(x,y)$  function, we need to work in finite  $N$  of values assumed equidistantly placed in  $x,y$  directions at distances  $\Delta x, \Delta y = \frac{L}{N}$ . The 2D PSD is then written as shown in Equation 6 [31].

$$S_2(f_x, f_y) = L^2 \left[ \frac{1}{N^2} \sum_{n=0}^N \sum_{m=0}^N z(x_m, y_n) \exp(2\pi j \cdot x_m f_x) \exp(2\pi j \cdot y_n f_y) \right]^2 \quad (3)$$

Where  $x_m = m \cdot \frac{L}{N}$ ,  $y_n = n \cdot \frac{L}{N}$  and  $f_x, f_y$  take discrete range of values as  $f_x, f_y = \frac{1}{L}, \frac{2}{L}, \dots, \frac{N}{2L}$

In this work, PSD functions were calculated according to equations 5 and 6 on AFM images. The PSD functions were fitted into two models. At low spatial frequencies, the functions were fitted into K-model (also known as ABC model) whereas at higher spatial frequencies, the PSD functions were fitted in inverse power law (or fractal model). The K-model is expressed by equation 7 [29].

$$PSD = \frac{A}{(1+B^2 f^2)^{C+\frac{1}{2}}} \quad (4)$$

This model describes the random distribution of roughness of surfaces of large length scales. It gives a PSD function with a knee, which is described by  $B$ , constant PSD region at very low values of frequency,  $f$ , determined by  $A$  and at very high values of frequency, the surface is fractal determined by  $C$ .  $A$  is related to very low frequency component of roughness and  $B$  is the correlation length related to grain size [29]. The equivalent roughness ( $\sigma$ ) and correlation length ( $\tau$ ) according to the K-model are given as  $\sigma = \frac{2\pi A}{B^2(C-1)}$  and  $\tau = \frac{(C-1)^2 B^2}{2\pi^2 C}$  respectively.

The inverse power law (fractal model) is expressed in equation 8 [32]. From the equation,  $K$  is the spectral length and  $\gamma$  is the gradient for the log-log PSD vs spatial frequency plot.

$$PSD = \frac{K}{f^\gamma} \quad (5)$$

From equation 8, the fractal dimension,  $D$  can be determined according to the following criteria.

When  $0 \leq |\gamma| < 1$ , then  $D = 2$  or  $3 < |\gamma|$ , then  $D = 1$ ; otherwise,  $D = \frac{1}{2}(8 - \gamma)$ . The roughness scaling factor,  $H$ , known as the Hurst exponent can also be related to the fractal dimension as  $D = 3 - H$ .

### 3. Results and discussion

#### 3.1. Stainless Steel Substrates

Figure 1 shows the AFM images of Al thin films deposited on stainless steel substrates at 150 W and 200 W. The images reveal well defined and spherical grains for both powers. At 150 W (Figure 1a), the surface topography was characterized by small-clustered, large and irregularly-packed

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3 structures (heterogenous). At 200 W, small, regular and closely-packed structures were observed.  
4 This is in agreement with the characteristics of zone T in the structure zone model [21]. However,  
5 there were surface holes, trenches and ditches of arbitrary shapes observed at 200 W (Figure 1d).  
6 On the righthand side of the 2D AFM images (Figure 1a and 1c), there are intensity strips shown.  
7 These strips are used to estimate the depth and height along the z-axis of the images if optimal  
8 scale setting of the scope trace is achieved. Interpretation of these strips at the two powers, reveals  
9 that the thickness of Al thin film during rf magnetron sputtering increased from 150 to 200 W.  
10 Table 1 shows the calculated root mean square ( $R_{rms}$ ), height ( $R_a$ ), skewness ( $R_{sk}$ ) and kurtosis  
11 ( $R_{ka}$ ) values for all the analysed samples. It can be deduced that the roughness of Al thin films  
12 deposited on mild steel substrate increased with power of deposition. This is in agreement with the  
13 literature [33]. That further implies that the roughness increased with the film thickness. Thicker  
14 films have been shown to have higher roughness due to grain growth and larger grains [18].  
15 However, in this study, the increase in roughness can be attributed to arbitrary holes, trenches,  
16 ditches and spraying parameters on the surface. Similar explanation has been provided for  
17 thermally evaporated Al thin films on quartz substrates [34].  
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24 To study the heights and widths of structures of the films, five AFM topographic 2D images were  
25 analysed as shown in Figure 2. For each image, three cross sections (1,2,3) were measured and a  
26 profile line diagram of depth (z-axis) against the surface along the x-axis was generated. The  
27 averages values for height (H) and widths (W) were calculated and reported for each cross section.  
28 The overall mean heights ( $H_{av}$ ) and widths ( $W_{av}$ ) and their corresponding maximum ( $H_{max}$  and  
29  $W_{max}$ ) values were recorded (Table 1). The values of skewness and kurtosis are  $0.1976 \pm 0.002$  and  
30  $1.153$  respectively at 150 W. This indicates that the surface features are symmetrical, dominated  
31 by peaks and bumpy [30]. This observation is in line with the 3D AFM height image indicated by  
32 the white arrows and clusters (Figure 1b). This suggested the reason for spiral or wavy appearance  
33 of line profiles for thin films deposited at 150 W (Figure 2). The films deposited at 200 W revealed  
34 serrated line profiles across their surfaces (Figure 3). This result agrees with the values of skewness  
35 and kurtosis in Table 1, which clearly shows the surface is spiky and dominated by valleys. A 3D  
36 AFM phase image (not shown) clearly revealed spikes and valleys on samples prepared at 200 W.  
37 The width W in this case is used to illustrate the size of the surface structures such as grains. The  
38 smaller the W values the smaller particles and closer the particles to each other. The values of W  
39 are small at 200W as compared to 150 W. This is the reason for serrated line profiles in Figure 3.  
40 However, it is thought that, the presence of defects as holes, trenches and ditches is the reason for  
41 steep deeps (indicated as 1 in Figure 3) of the line profiles and hence the reason for increase in  
42 roughness (Figure 1d). The smaller values of W at 200 W indicates densification and packing of  
43 relatively smaller structures, although with height deviations. As shown by line profiles, this close  
44 packing makes the surface to appear laterally homogenous but with valleys and spikes, making the  
45 surface appear serrated. These observations can be understood by considering the nucleation and  
46 coalescence processes during film formation [21, 34].  
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Figures 4(a) and 4(b) show the power spectral density profiles (logarithmic) of AFM images at 150 W and 200 W respectively. Generally, the experimental profiles exhibit a PSD constant region at low spatial frequency corresponding to white noise and a steep decrease in PSD at higher spatial frequencies corresponding to highly correlated region. At 200 W, the white noise region is larger than at 150 W. This indicates some extend of homogenous lateral distribution of roughness of surface structures at 200 W. This observation correlates well to the serrated line profiles with occasional 'ditches' indicated as 1 in Figure 3. Furthermore, comparing the logarithmic X-scales for both figures, it emerges that at 200 W, the scale range was higher than that of 150 W. This is an indication that images at 200 W have smaller spatial wavelengths, hence there is uniform distribution of small particles over the surface of the image. It therefore means that at 200 W, the smaller and closely packed particles do not create large and uneven distribution throughout the matrix. It is therefore suggested that the lateral distribution of particles at 200 W is homogenous; and the reason for increased roughness is due to the steep deeps/trenches (Figure 3). The PSD functions are both dominated by the power law, which indicates that the surfaces are generally rough. The high roughness observed on these surfaces was the reason for choice of very small scan size (3x3  $\mu\text{m}$ ) during AFM imaging. At scan sizes beyond 5x5  $\mu\text{m}$ , the AFM scope (not shown) was characterized by very high noise and frequent retraction. Table 2 shows slight increase in power law exponent,  $\gamma$  and decrease in PSD amplitude, K from 150 W to 200 W. This indicates higher surface roughness at 200 W and increase in height features within the lateral range. Additionally, increase in power law at 200 W indicates growth of features at different wavelength and different rates of growth [35]. The B parameter in the K-model describes the sizes of the surface structures [36]. At 200 W the value of B (grain size) is smaller than at 150 W (Table 2). This agrees with the line profile analysis (Figures 2 and 3). There are considerable differences between A and C parameters (Table 2) for both powers; which indicates considerable differences in lateral distribution in roughness and surface structures [37]. The fractal dimensions (D) are computed as 2.87 and 2.83 at 150 W and 200 W respectively for stainless steel substrate (Table 2). The Hurst components (H) are 0.13 and 0.17 at 150 W and 200 W respectively for stainless steel substrates. Generally, when H is between 0 and 0.5 it means the surface contains continuous alternating height features indicating uniform distribution while at range 0.5-1.0 indicates spatial series with positive autocorrelation [29]. This means that there are sharp changes in surface features hence inhomogeneous distribution of the surface features [38, 39].



### 3.2. Mild Steel Substrates

Figure 5 shows 2D and 3D AFM images of Al thin films deposited at 150 W and 200 W. It can visually be seen that at 150 W, the surface consists of small, close-packed, globular and nearly uniform structures while the surface structures appear larger and clustered at 200 W. This indicates that at higher power, there is growth of surface structures and hence densification of large particles on the surface. The structures at 200 W appear elongated and highly interconnected. As shown in Table 1, higher roughness is recorded on thin films deposited at 200 W. This can be attributed to increase in size of surface structures as further confirmed by  $W$  in Table 1 [34]. It can also be seen that standard deviation for average heights of structures ( $H_{av}$ ) is higher at 200 W, indicating presence of extremes on the surface structures. The growth of surface structures with rf power can be explained by considering that increase in rf power leads to increase in deposition rate [40] and hence diffusion of adatoms, nucleation and coalescence [34]. At lower rf power, the diffusion of Al atoms and formation of Al clusters is insignificant whereas at higher power, there is higher density of Al atoms arriving onto the substrate surface and hence larger number of nuclei forms leading to larger grains. Figures 6 and 7 show the line profiles across the selected three sections of the surface. The morphology of the films (at 150 W) consists of valleys with many but less pronounced peaks making the line profiles appear nearly serrated (Figure 6). The serrations imply that the surface morphologies are closely packed. At 200 W, the valleys are clearly separated by wide deeps, indicating long and large surface structures (Figure 7). These observations agree with skewness and kurtosis values in Table 1.

Figures 8(a) and 8(b) show the log PSD versus log spatial frequency plots for Al thin films deposited on mild steel substrates at 150 and 200 W respectively. The modelling parameters for K- and fractal models are summarised in Table 2. There is a clearer white noise region in Figure 8(a) than in Figure 8(b), indicating higher homogeneity on surfaces deposited at 150 W. Generally, based on the Hurst exponents in Table 2, the surface structures are homogeneously laterally distributed. It can also be noted that for the two powers, PSD amplitude, K and power exponent  $\gamma$  in the fractal model are different, which means that growth rate of surface structures (such as grains) occur at different rates at different powers. Unlike, in stainless steel substrates, the PSD amplitude (K) increased and it shows no correlation with the roughness trend. The results of the K-model show that grain size parameter B is higher at 200 W, indicating grain growth. This is

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3 consisted with the morphological quantification of the line profile analysis. It is also shown that  
4 the roughness components (A) at low frequency for the two powers are nearly equal (Table 2).  
5 This can be confirmed by the  $R_{rms}$  and  $R_a$  results in Table 1, which generally shows very close  
6 values for the two parameters for both powers. The fractal parameter, C for both powers are also  
7 very close; indicating that the lateral distribution of roughness on these surfaces is also similar.  
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10 From the preceding discussion, there are differences in topographical characteristics of the Al films  
11 on the stainless steel and mild steel substrates. At both rf powers, the H and W values were higher  
12 in mild steel substrates than in stainless steel substrates, which implies that substrate type  
13 influences the surface roughness and structures of the thin film coating [41,42]. The size of surface  
14 structures (W) increased with rf power in mild steel substrate whereas decreased in stainless steel  
15 structures. Additionally, the roughness values and their standard deviations were higher in stainless  
16 steel substrate than the mild steel. The AFM images in coated mild steel substrates revealed grainy  
17 surfaces characterised by nearly spherical shapes of uniform sizes and it is the suggested reason  
18 for the lower roughness and standard deviations of roughness values in mild steel substrates.  
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### 28 **3.3. Coating Microstructure**

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31 To support the AFM microstructural observations, the SEM images and XRD results at the top  
32 surface of the Al thin films deposited at 150 W and 200 W for the two substrates were investigated  
33 and shown in Figures 9, 10 and 11. A similar approach has been used in literature to analyze thin  
34 films [43] since SEM can show other important aspects of sputtering such hillock formation [44].  
35 The SEM images show that there were no significant observable differences on stainless steel  
36 substrates at 150 W and 200 W (Figure 9a and 9b). The structure consisted of small, shiny, dark  
37 regions and defined grains and boundaries. The boundaries were observed as ‘interconnected  
38 paths’ throughout the structure. At higher magnifications (Inset), the shiny regions were observed  
39 to be Al structure seen as “impinges” on stainless steel structure at 150 W. This can be related to  
40 the AFM images in Figure 1. For both powers, the dark regions were observed as pores resulting  
41 from Al deposition. Although the microstructure appears homogenous, at high magnifications, the  
42 clusters of Al structures make the surface appear heterogenous. This suggests the differences in  
43 roughness and surface profiles measured by AFM. The EDS (not shown) revealed that the dark  
44 regions indicated as P on the SEM image have high concentration of oxides.  
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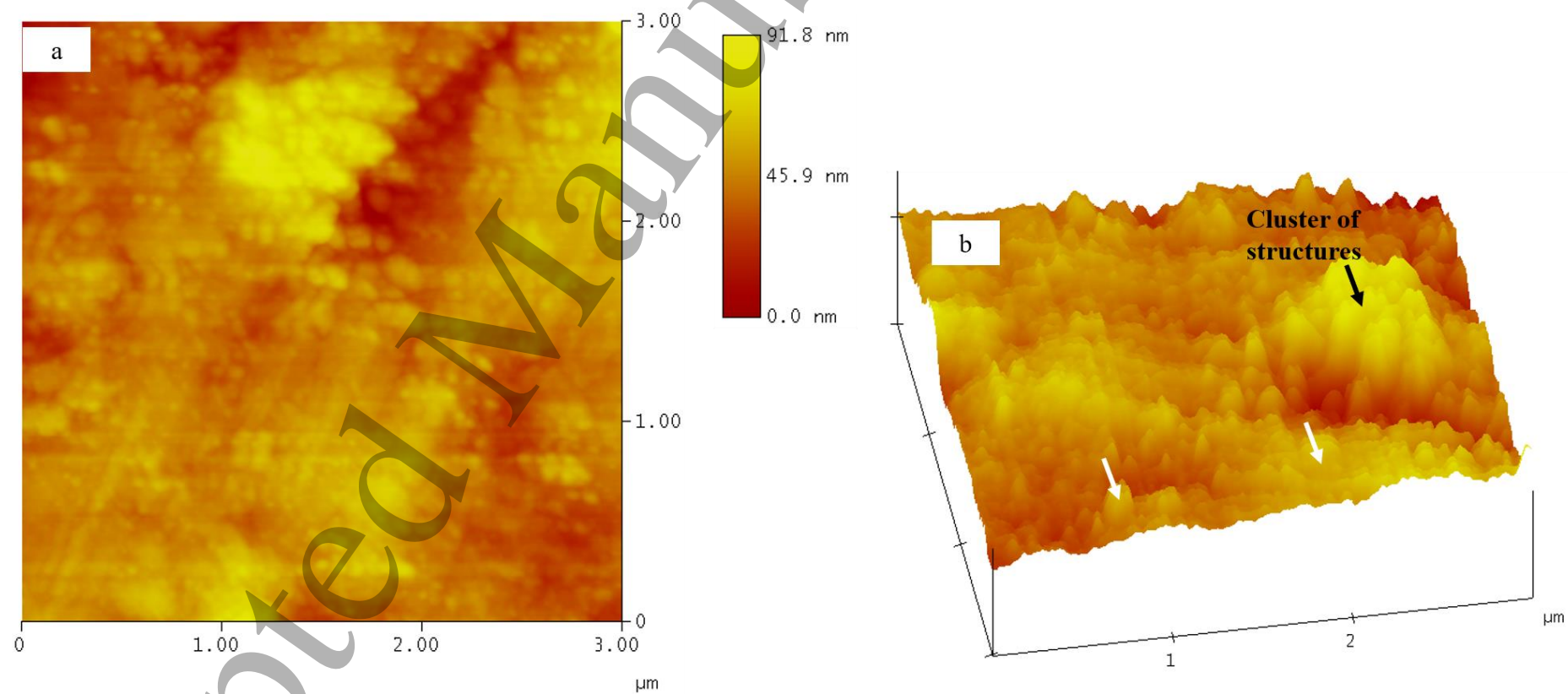
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3 On the mild steel substrate, the Al structure clearly appeared as ‘splats’ morphologies at 200 W  
4 (Figures 9c and 9d). At 150 W, the SEM images revealed shiny and dark regions, which were  
5 identified as areas of high Al and O elements by EDS. At 200 W, the microstructure consisted of  
6 long plates of Al and shiny bubble regions as seen in Figure 9d. The bubble region indicated high  
7 concentration of O through EDS with no Al elements observed. These observations confirm the  
8 AFM observation in Figure 5, which shows increase in size of surface structures. The higher  
9 roughness values recorded at 200 W can be attributed to the larger, interconnected structures on  
10 the surface. The surface roughness can also be attributed to the porous regions indicated by letter  
11 P on the SEM images.  
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19 Figures 10 and 11 shows the X-ray diffraction spectra of the magnetron sputtering coating surface  
20 using Cu- $\alpha$  radiation. All the coating had a broad peak at  $2\theta \approx 15^\circ$ . The XRD results of the coated  
21 316L stainless steel substrate showed Chromium-Nickel and Aluminium phase on both sample rf  
22 power at 150 W and 200 W respectively (Figure 10). There was no new phase formed on increasing  
23 the rf power from 150 W to 200 W, although the Cr-Ni and Al peaks became clearer and intensity  
24 higher. This could be attributed to the reduction in stress. In addition, high diffraction background  
25 was observed on both XRD spectra because of the strain effects on the coating. The XRD results  
26 on the coated mild steel substrate (Figure 11) reveal the presence of Al-Fe, Al and Fe on both  
27 coating rf power at 150 W and 200 W respectively. It is thought that the occurrence of Fe on the  
28 sputtering surface suggested that diffusion occur between the target atoms (i.e. Al) and Fe in the  
29 parent material. This observation confirms the inference of AFM results on the growth of surface  
30 structures. No new phases were observed except the presence of high diffraction background. This  
31 could be due to strain effect.  
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#### 42 **4. Conclusions**

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45 Al thin films deposited on stainless and mild steel substrates through rf magnetron sputtering were  
46 characterised through AFM. Higher roughness was reported for higher rf power for both steel  
47 substrates. Besides formation of interconnected structures at higher rf power, increase in roughness  
48 can also be attributed to the holes, trenches and ditches observed on the AFM images. Line profile  
49 analyses on AFM images were used to study the morphology of structures on the films. It was  
50 shown that it is possible to sufficiently describe the surface of the thin films with this technique  
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3 since the profiles correlated well with the skewness and kurtosis values. With line profile analyses,  
4 the surfaces were described as either wavy, bumpy or serrated. K- and power law models were  
5 used to analyse the PSD of the AFM micrographs. For both rf powers, the PSD profiles were  
6 shown to have two significant regions, namely the white noise and highly correlated regions as  
7 reported in literature [45]. The PSD modelling correlated well with roughness and line profile  
8 results. SEM results showed different morphologies of the Al thin films on different substrates. In  
9 stainless steel substrates, the Al films appeared as impinges whereas in mild steel substrates they  
10 appeared as splats and highly interconnected structures. XRD results showed that highly crystalline  
11 Al films are formed when prepared through rf magnetron sputtering on steel substrates at 150 W  
12 and 200 W.  
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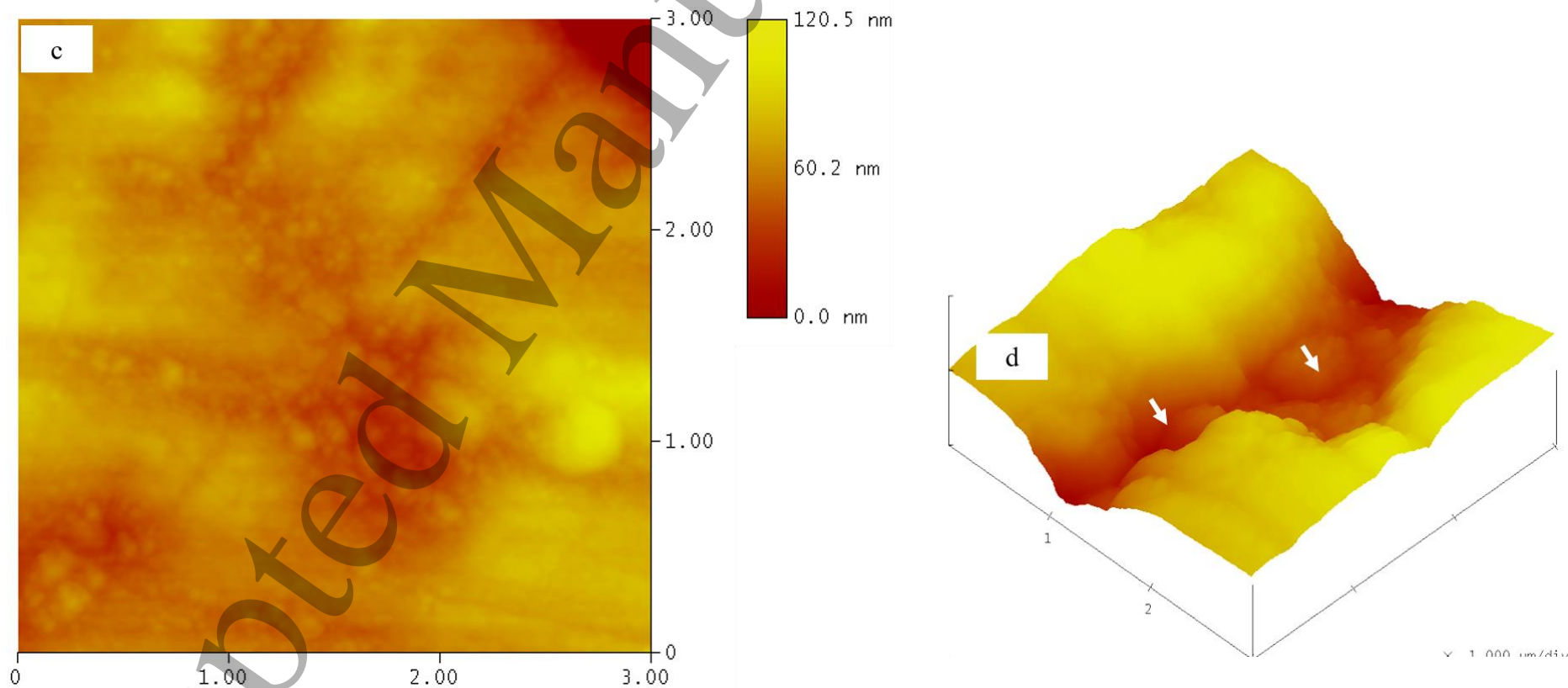


Figure 1. 2D height AFM images for Al thin film surfaces deposited through rf magnetron on stainless steel substrates at (a) 150 W and (c) 200 W rf powers. Figures (b) and (d) shows the corresponding 3D images respectively. The white arrows in Figure 1(b) shows the peaks and cluster of structures responsible for bumpy surfaces (Rka) whereas the white arrows in Figure 1(d) shows the 'ditches' which can be interpreted as defects on the surface of the films

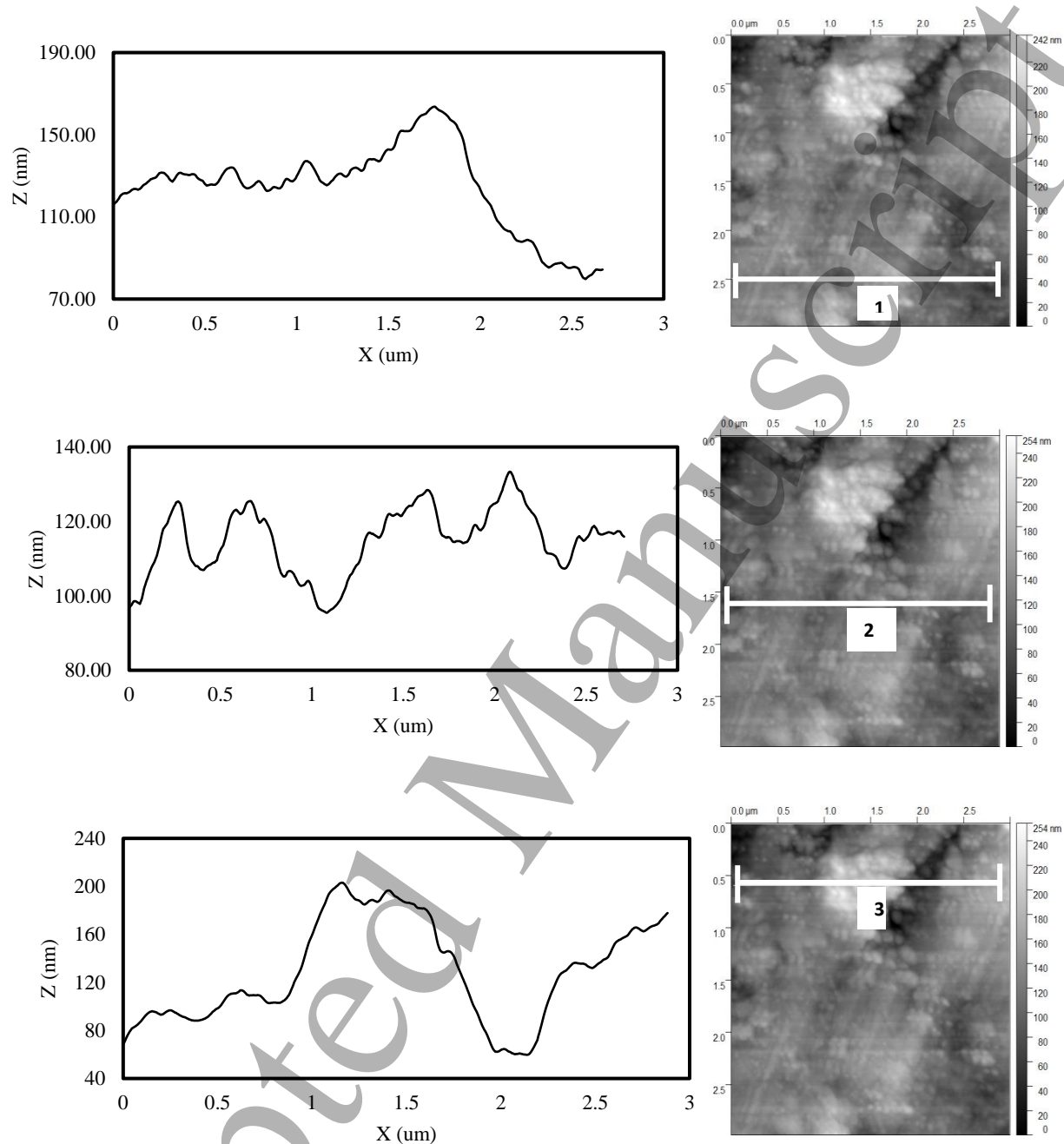


Figure 2. Illustrating the morphological measurements in this work for stainless steel substrates coated at 150 W. Surface profiles were taken at three different sections (1,2,3) of the AFM topographic image. The maximum profile (grain) heights ( $H_{max}$ ), maximum grain widths ( $W_{max}$ ), average heights ( $H_{av}$ ) and widths ( $W_{av}$ ) along the three sections were determined. In section, 3, due to cluster of small grains seen in Figure 1b higher values of heights and widths were recorded.

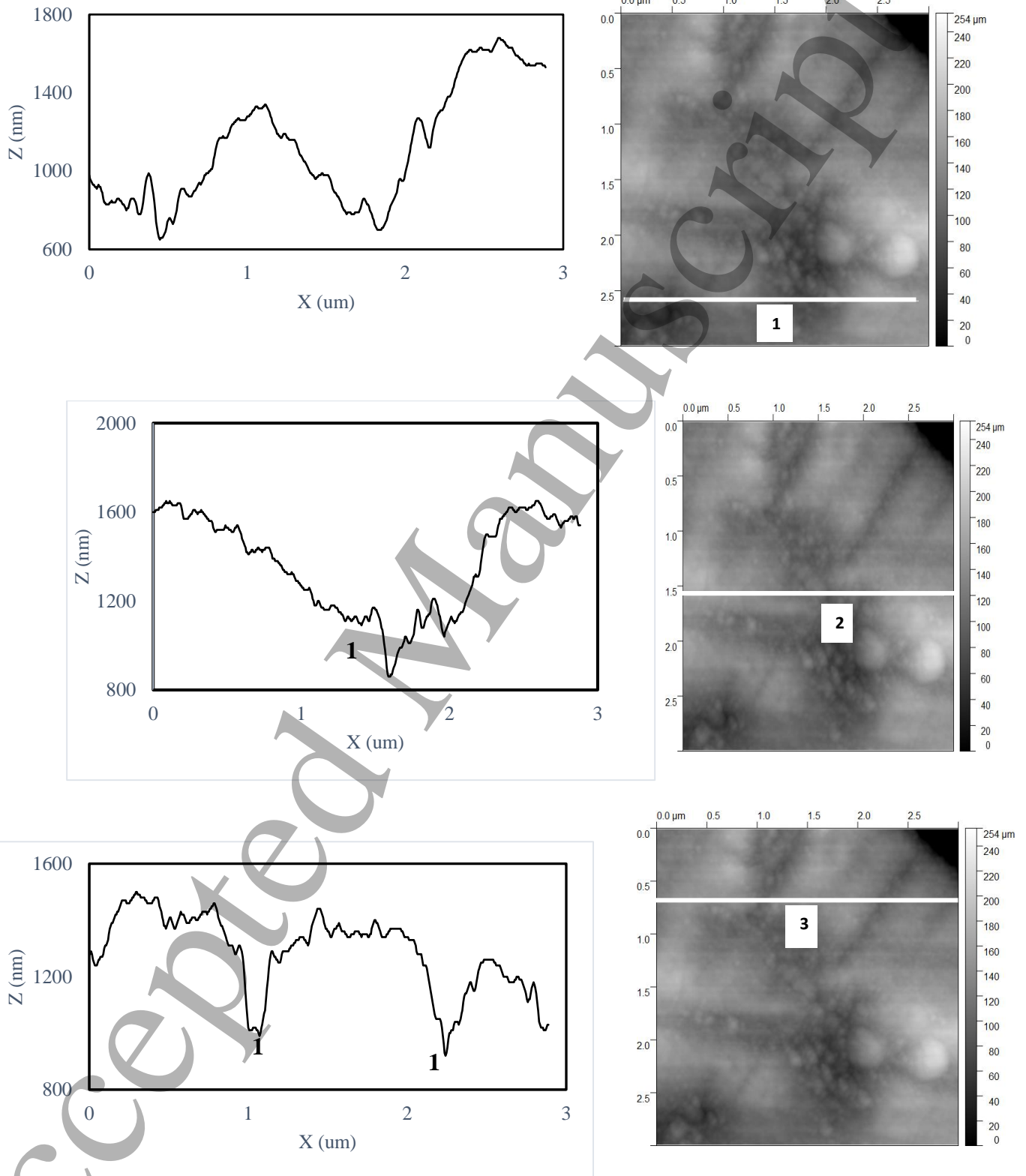
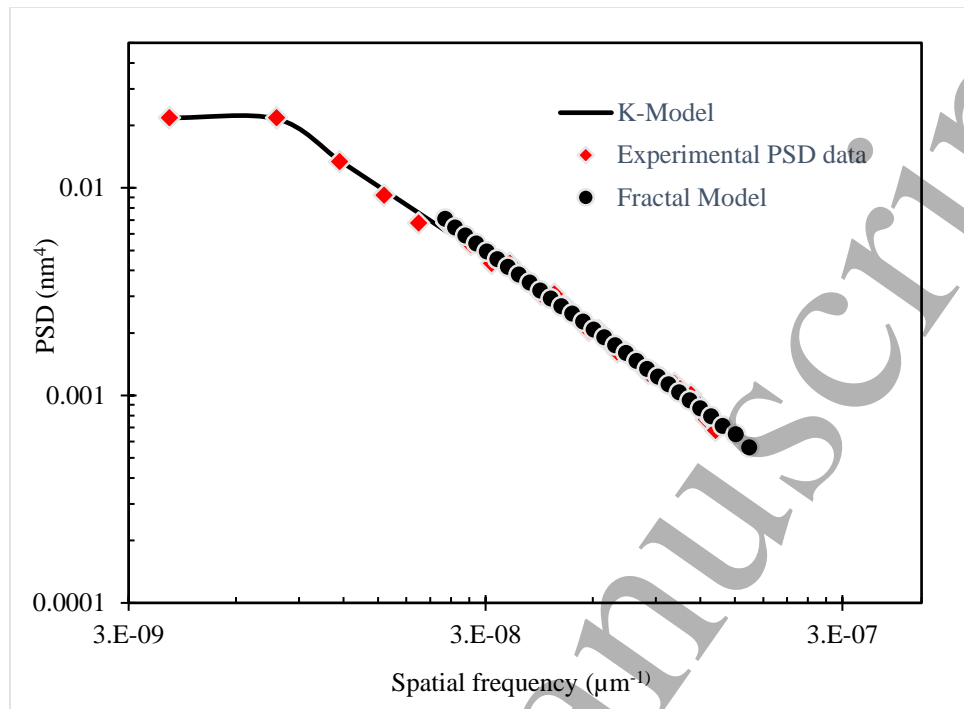
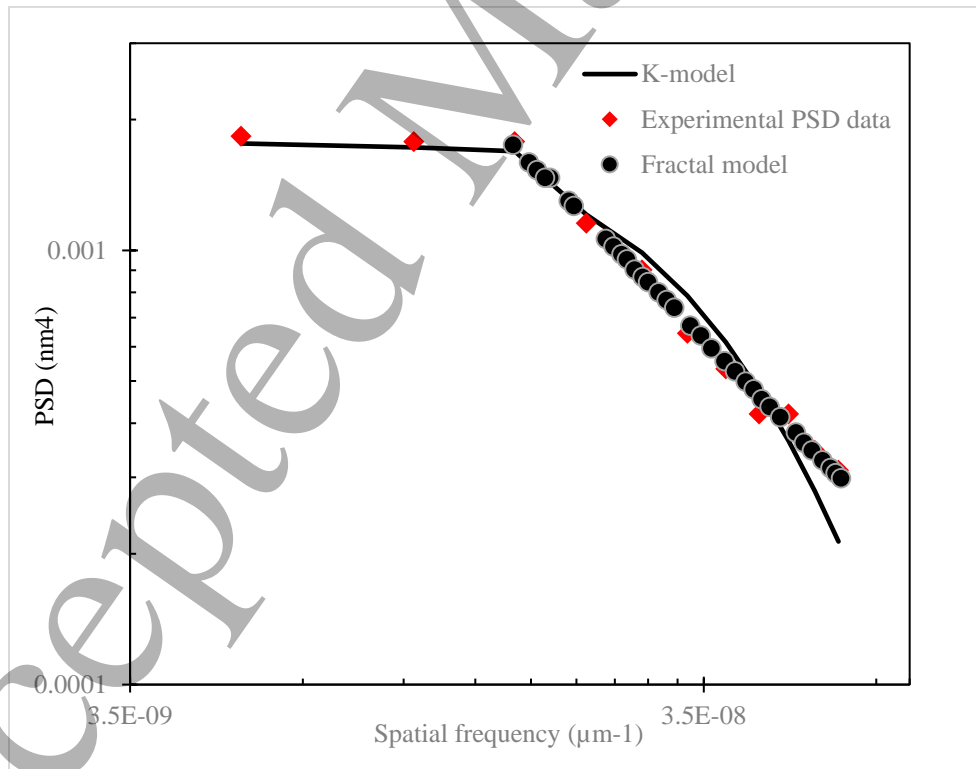


Figure 3. Line profile analysis for Al thin films' surfaces deposited on stainless steel substrates at 200 W.



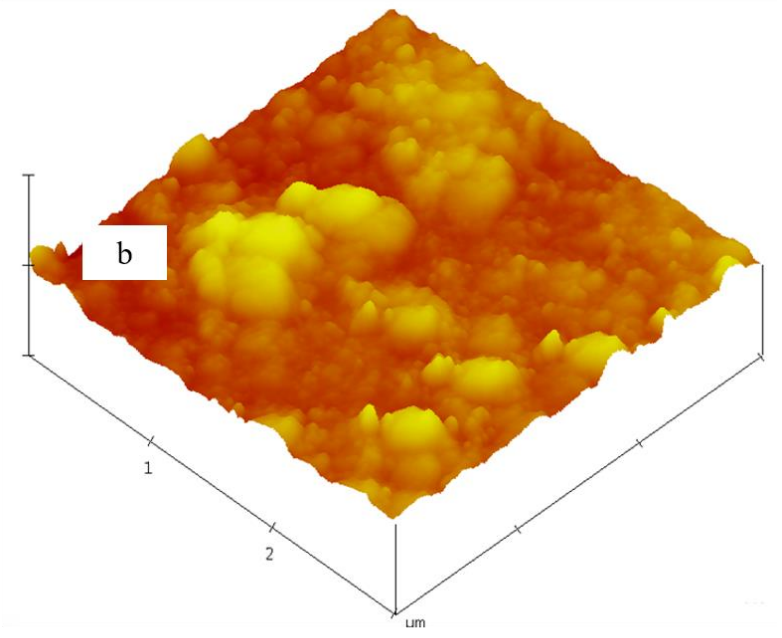
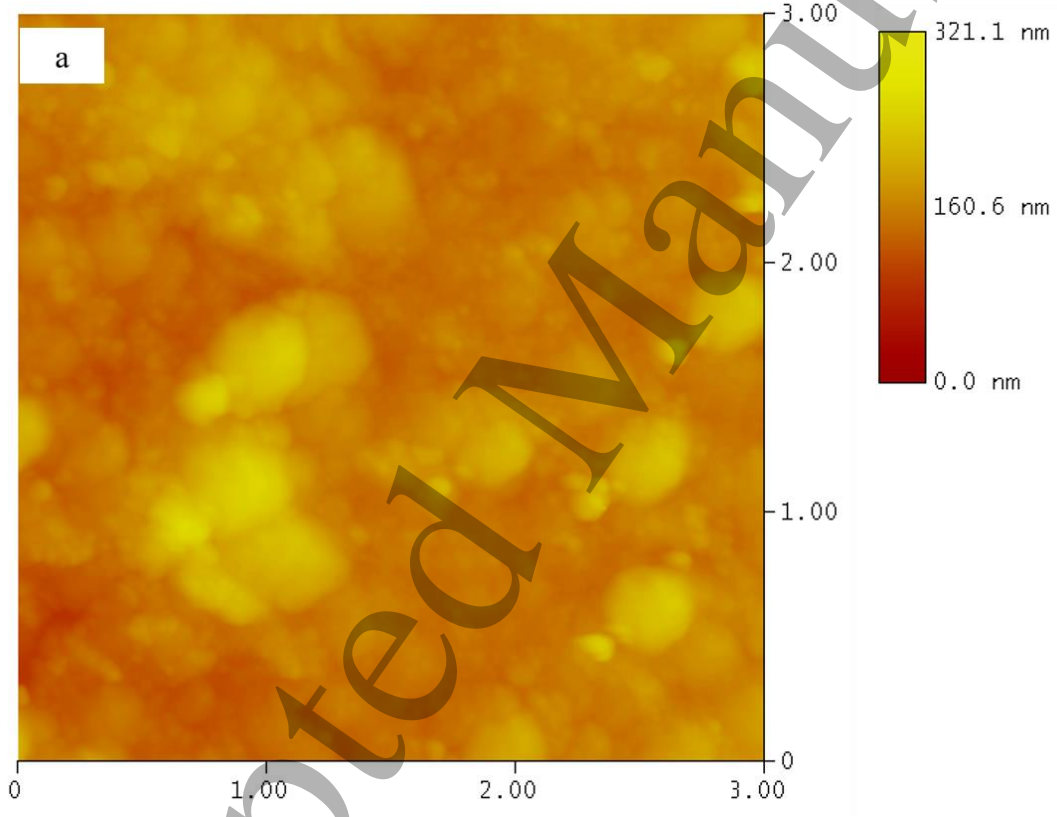


(a)



(b)

Figure 4. PSD profiles displayed on double log scale as functions of spatial frequency for Al thin films deposited on stainless steel substrates at (a) 150 W and (b) 200 W. The K-model fits the experimental PSD data at low frequencies whereas the fractal model fits the data at higher spatial frequencies. The model parameters are presented in Table 2.



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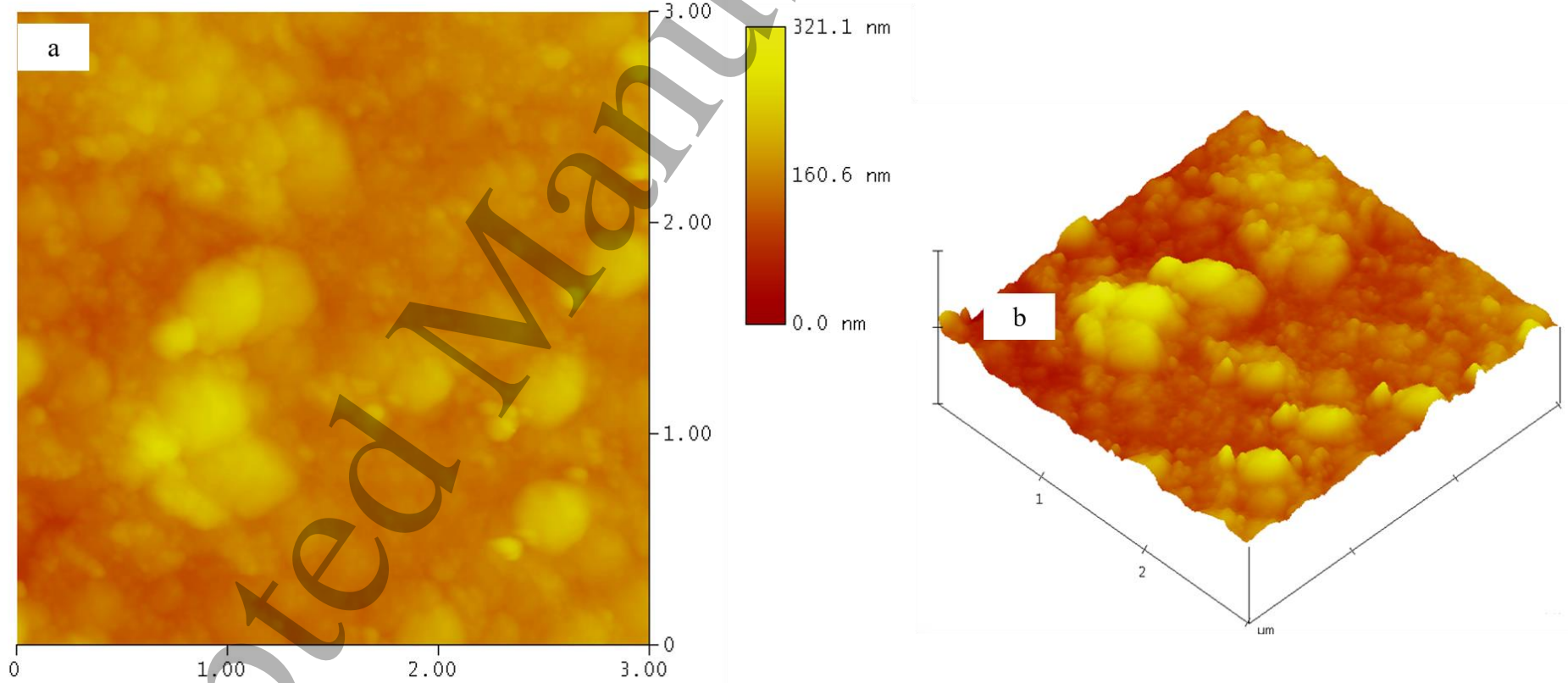


Figure 5. 2D height AFM images for Al thin film surfaces deposited through rf magnetron on Mild steel substrates at (a) 150 W and (c) 200 W rf powers. Figures (b) and (d) shows the corresponding 3D images respectively.

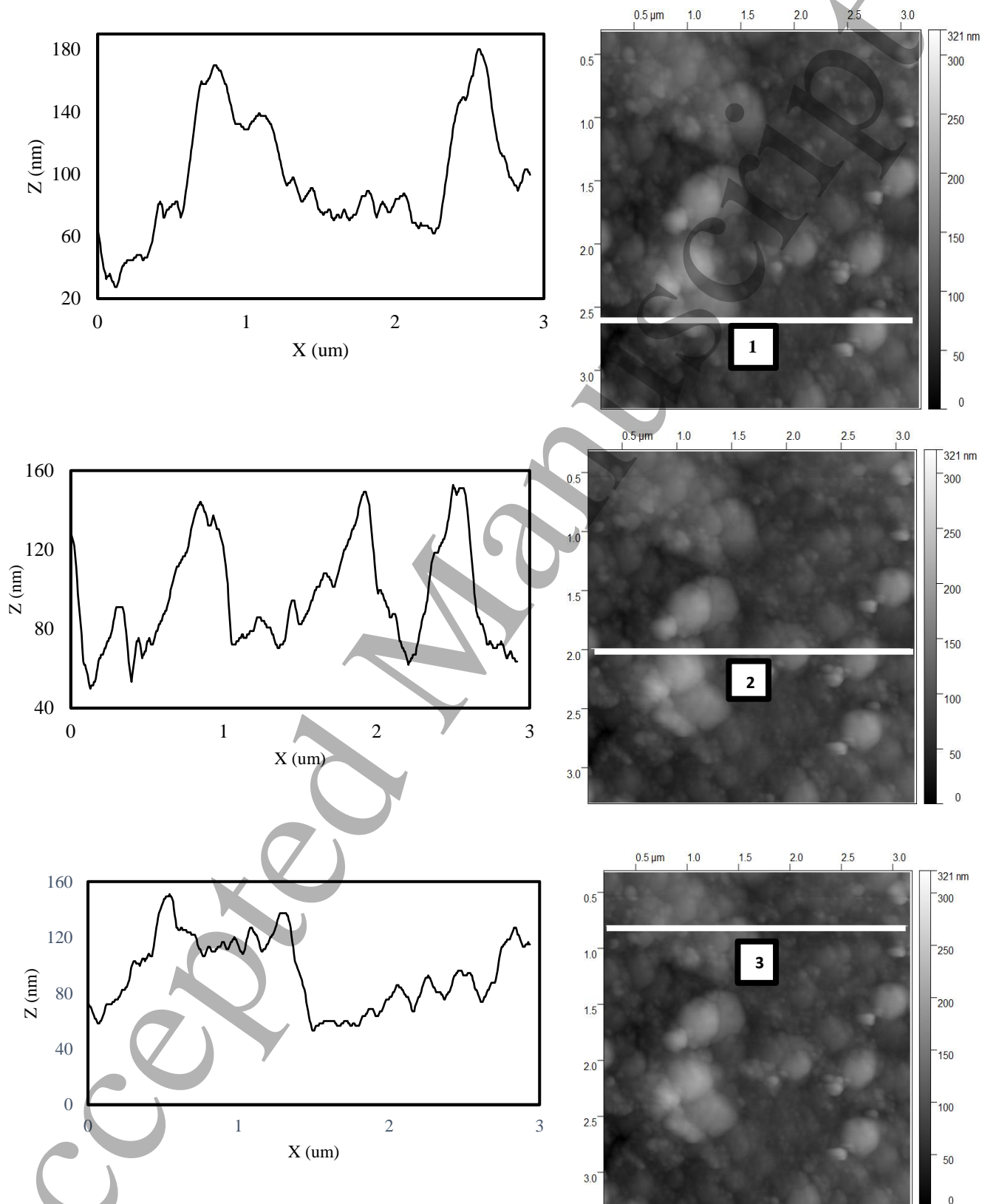


Figure 6. Line profile analysis for the surfaces of Al thin films deposited on mild steel substrates at 150 W

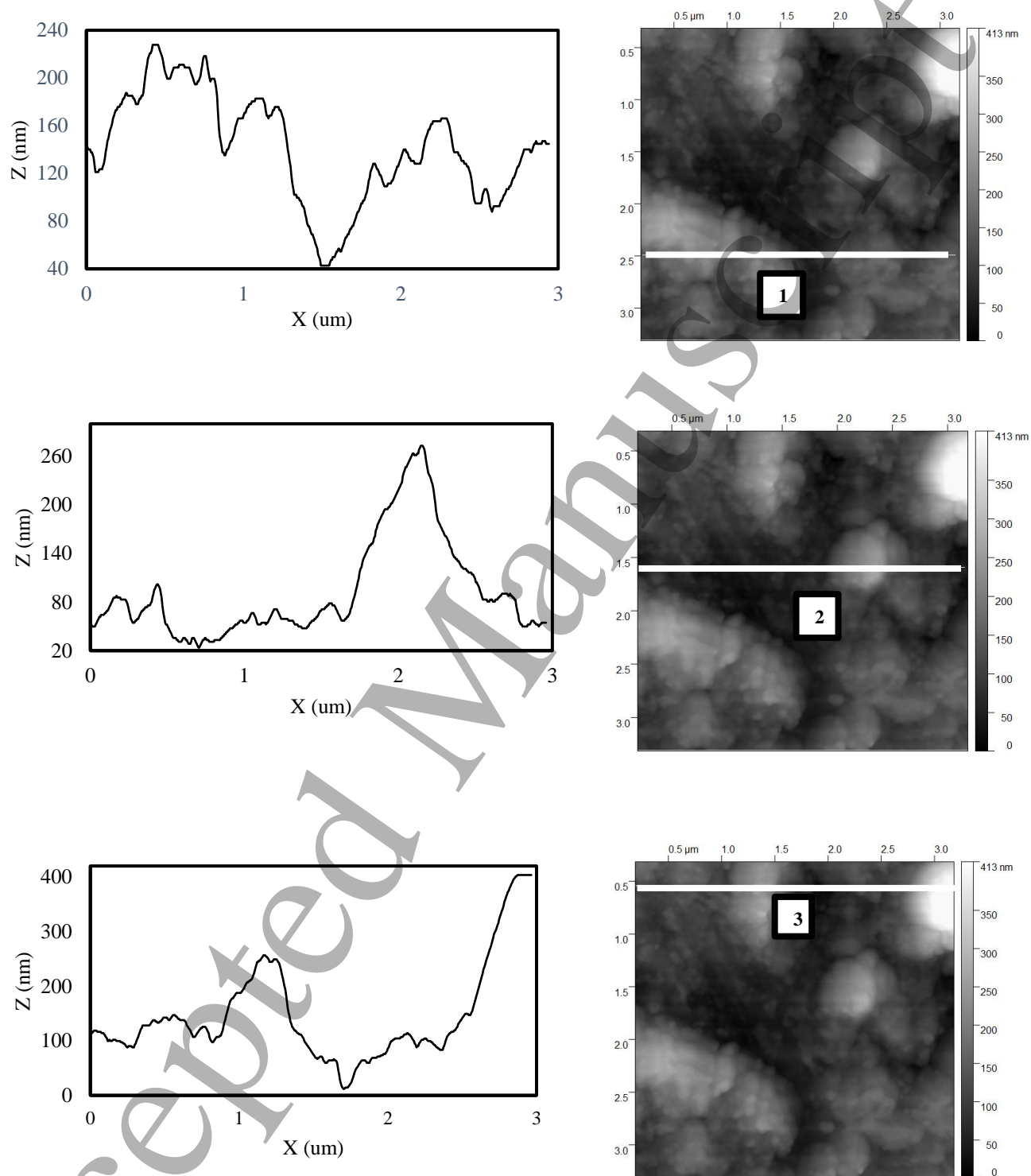
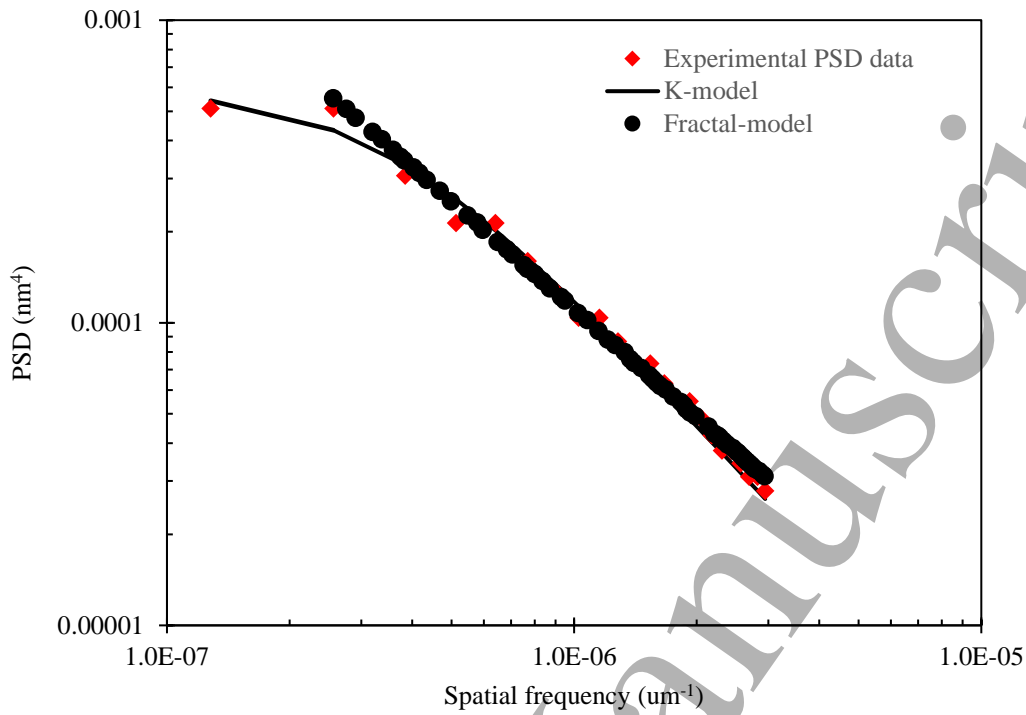
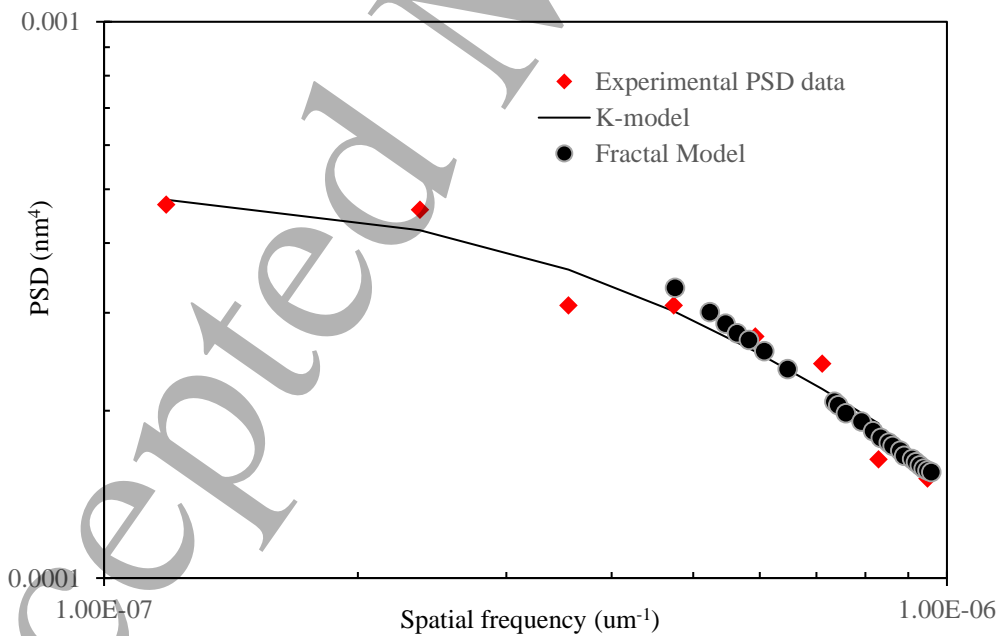


Figure 7. Line profile analysis for the surfaces of Al thin films deposited on mild steel substrates at 200 W



(a)



(b)

Figure 8. PSD profiles displayed on double log scale as functions of spatial frequency for Al thin films deposited on mild steel substrates at (a) 150 W and (b) 200 W. The K-model fits the experimental PSD data at low frequencies whereas the fractal model fits the data at higher spatial frequencies. The model parameters are presented in Table 2.

Table 1 Surface roughness characteristics

| Parameters | Stainless Steel Substrate |                | Mild Steel Substrate |                 |
|------------|---------------------------|----------------|----------------------|-----------------|
|            | 150                       | 200            | 150                  | 200             |
| $R_{rms}$  | 30.718±4.02 nm            | 48.23±26.18 nm | 27.59±6.84 nm        | 38.34±14.62 nm  |
| $R_a$      | 23.07±1.05 nm             | 37.84±22.98 nm | 21.86±5.63 nm        | 29.90±12.02 nm  |
| $R_{sk}$   | 0.1976±0.002              | -0.703±0.27    | -0.168±0.24          | -0.015±0.75     |
| $R_{ka}$   | 1.153 ±0.006              | 5.07±1.73      | 2.984±0.14           | 5.94±0.02       |
| $H_{av}$   | 29.56±23.88 nm            | 41.19±8.49 nm  | 50.44±12.45 nm       | 96.09±64.44 nm  |
| $H_{max}$  | 83.9 nm                   | 96.5 nm        | 150.4 nm             | 389 nm          |
| $W_{av}$   | 143.88±72.37 nm           | 135.3±8.674 nm | 162.4±10.91 nm       | 259.70±170.2 nm |
| $W_{max}$  | 540 nm                    | 417 nm         | 557 nm               | 903 nm          |

Table 2 Power Spectral Density Model parameters

| Parameters | Stainless Steel Substrate     |                                 | Mild Steel Substrate             |                                   |
|------------|-------------------------------|---------------------------------|----------------------------------|-----------------------------------|
|            | 150                           | 200                             | 150                              | 200                               |
| <b>A</b>   | $48 \times 10^3 \text{ nm}^3$ | $98.5 \times 10^3 \text{ nm}^3$ | $14.35 \times 10^3 \text{ nm}^3$ | $14.258 \times 10^3 \text{ nm}^3$ |
| <b>B</b>   | 96 nm                         | 53 nm                           | 346.4 nm                         | 404.5 nm                          |
| <b>C</b>   | 6.71                          | 4.24                            | 0.41                             | 0.38                              |
| $\gamma$   | 2.26                          | 2.34                            | 2.18                             | 2.11                              |
| <b>K</b>   | $1.75 \times 10^{-12}$        | $5.88 \times 10^{-14}$          | $8.909 \times 10^{-12}$          | $3.4 \times 10^{-11}$             |
| <b>D</b>   | 2.87                          | 2.83                            | 2.91                             | 2.95                              |
| <b>H</b>   | 0.13                          | 0.17                            | 0.09                             | 0.05                              |

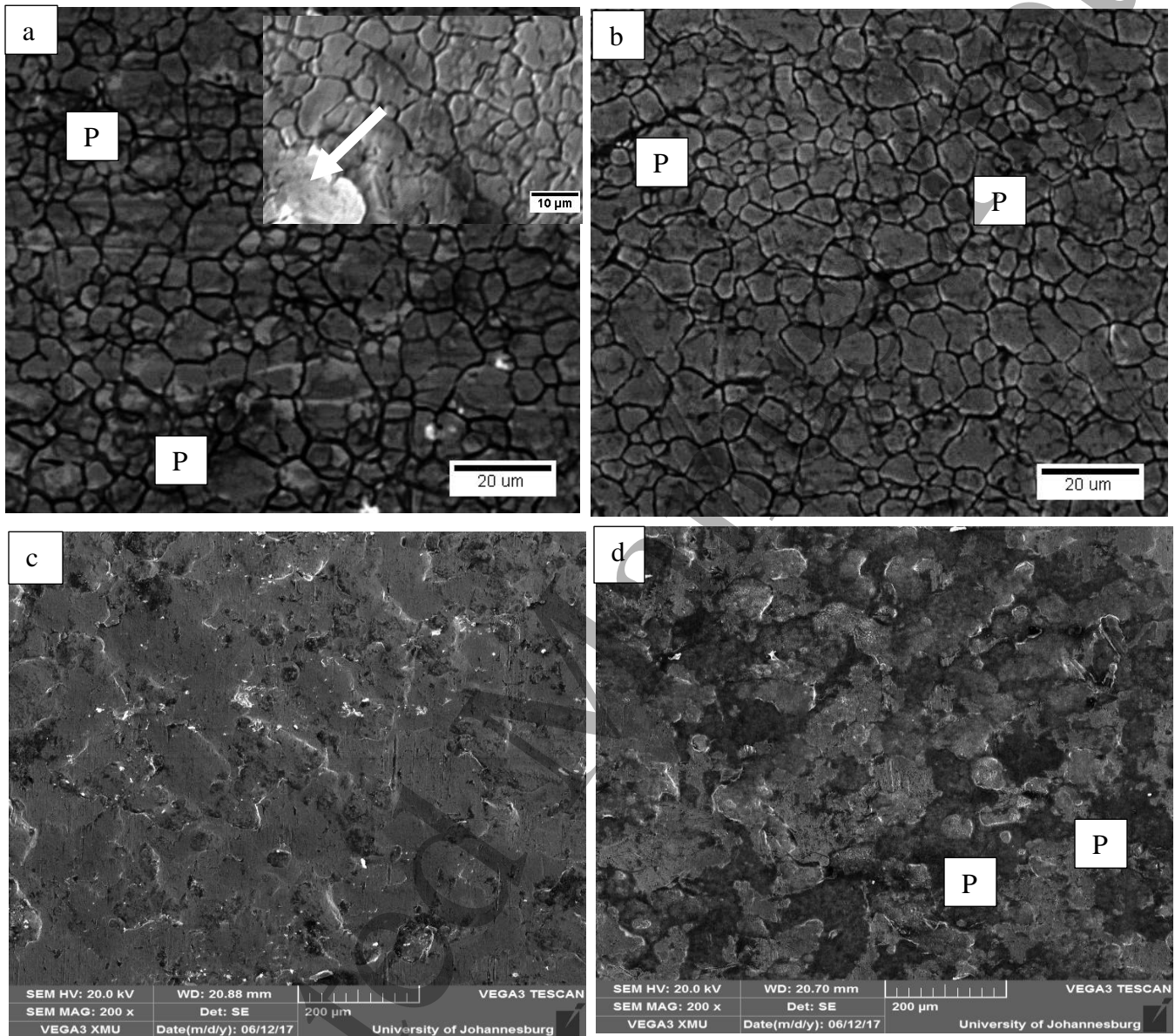


Figure 9. SEM images of Al thin film surfaces deposited on stainless steel substrates at a) 150 W and b) 200 W and mild steel substrate at c) 150 W and d) 200 W. The white arrow in Figure 11a shows the impinged Al structures on the substrate. P indicates dark and highly oxidised porous regions of the microstructures



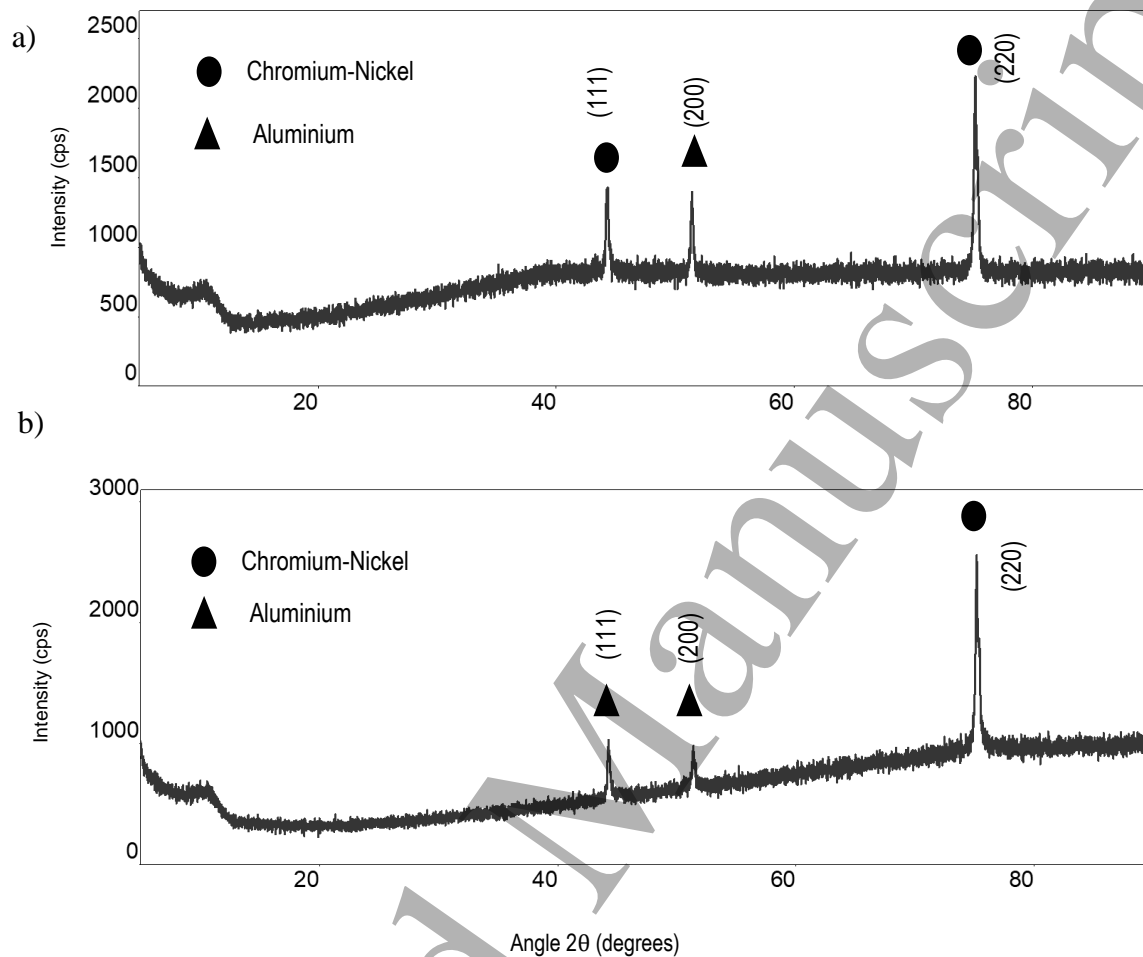


Figure 10. X-ray diffraction patterns for Al thin films deposited on 316L stainless steel substrate at rf power of (a) 150 W and (b) 200 W

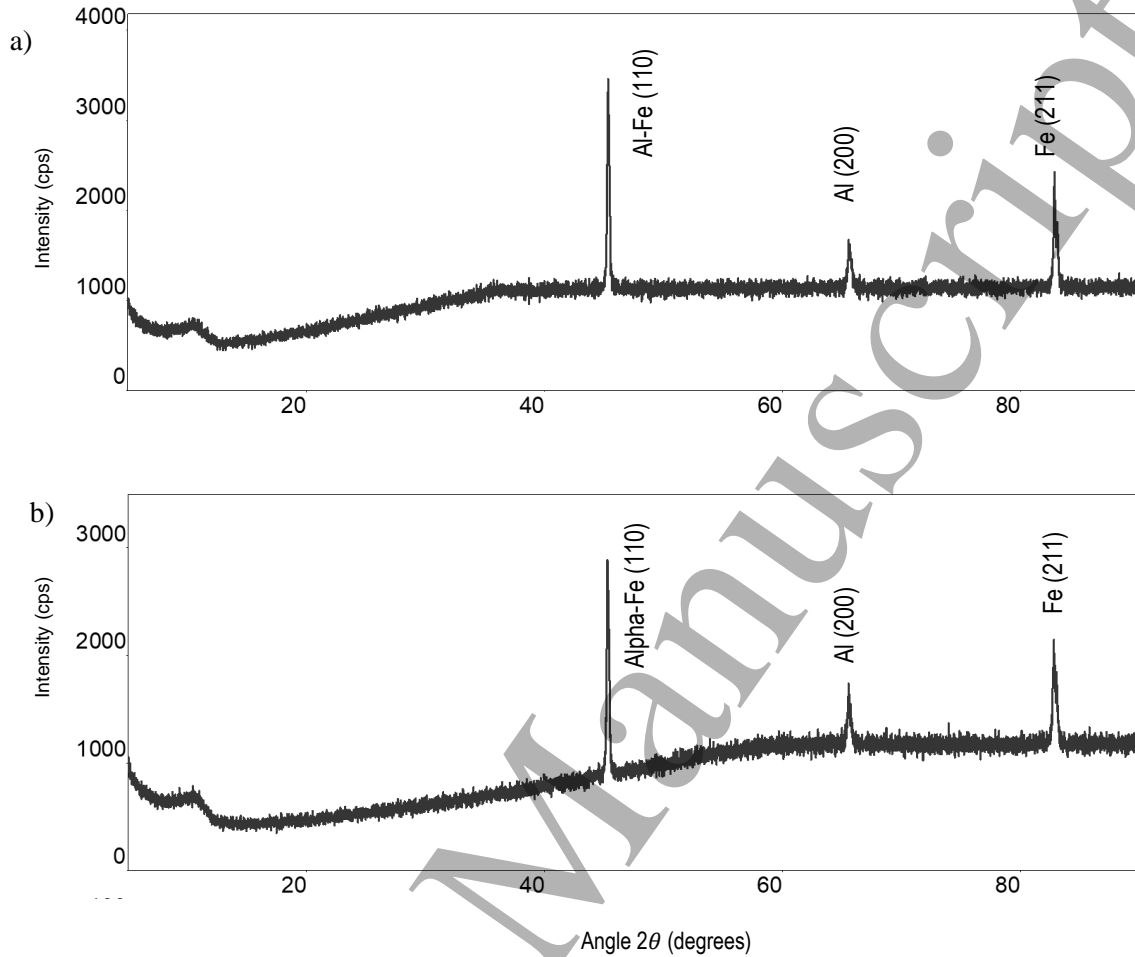


Figure 11. X-ray diffraction patterns for Al thin films deposited on mild steel substrate at rf power of (a) 150 W and (b) 200 W

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